

**UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK**

-----X
:
In re : **Chapter 11 Case No.**
:
LEHMAN BROTHERS HOLDINGS INC., et al., : **08-13555 (JMP)**
:
: **(Jointly Administered)**
:
Debtors. :
:
-----X **Ref. Docket No. 39382**

AFFIDAVIT OF SERVICE

STATE OF NEW YORK)
) ss.:
COUNTY OF NEW YORK)

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On August 19, 2013, I caused to be served the "Notice of Defective Transfer," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez
Lauren Rodriguez

Sworn to before me this
22nd day of August, 2013

/s/ Cassandra Murray

Notary Public, State of New York
No. 01MU6220179
Qualified in Queens County
Commission Expires April 12, 2014

EXHIBIT A

UNITED STATES BANKRUPTCY COURT
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

NOTICE OF DEFECTIVE TRANSFER

Transferor: BERNER KANTONALBANK AG
LEGAL DEPARTMENT
BUNDESPLATZ 8
BERN 3011 SWITZERLAND

Additional: BERNER KANTONALBANK AG
HOGAN LOVELLS US LLP
ATTN: CHRISTOPHER R. DONOHO III, ESQ.
875 THIRD AVENUE
NEW YORK NY 10022

Transferee: BANK JULIUS BAER & CO. LTD.
ATTN: PATRIK ROOS
BAHNHOFSTRASSE 36
ZURICH 8010 SWITZERLAND

Your transfer of claim # 67487 is defective for the reason(s) checked below:

Other ☐ Transfer Amt not clear

Docket Number 39382

Date 08/13/13

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on August 19, 2013.

EXHIBIT B

TIME: 15:17:31
DATE: 08/19/13

LEHMAN BROTHERS HOLDING INC.
CREDITOR LISTING

PAGE: 1

Name	Address
BANK JULIUS BAER & CO. LTD. BERNER KANTONALBANK AG BERNER KANTONALBANK AG	ATTN: PATRIK ROOS BAHNHOFSTRASSE 36 ZURICH 8010 SWITZERLAND LEGAL DEPARTMENT BUNDESPLATZ 8 BERN 3011 SWITZERLAND HOGAN LOVELLS US LLP ATTN: CHRISTOPHER R. DONOHO III, ESQ. 875 THIRD AVENUE NEW YORK NY 10022

Total Number of Records Printed 3